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## Photochromism, Thermochromism and Solvatochromism of Spironaphthoxazines: Mechanistic Aspects

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# PHOTOCHROMISM, THERMOCHROMISM AND SOLVATOCHROMISM OF SPIRONAPHTHOXAZINES : MECHANISTIC ASPECTS.

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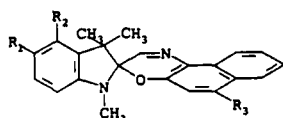
**Abstract** Mechanistic aspects of coloration and decoloration of some spironaphthoxazines have been studied. A schematic potential energy curve applicable to the photochromic reaction is presented

## INTRODUCTION

The purpose of the present work was to study some mechanistic aspects of the photochromic reaction of spirooxazines.

The investigation involved the basic unsubstituted spirooxazine compound and two derivatives as shown in Table I.

TABLE I Chemical structure and absorption maxima of the spironaphthoxazines.



Compounds	Substituents			$\lambda_{max}$ , nm (visible)	
	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	toluene	ethanol
SPOA	H	H	H	590	610
SPOD	CH <sub>3</sub>	CH <sub>3</sub>	H	595	615
SPOP	H	H	pip.*	565	590

(\*) pip. = piperidinyl

## EXPERIMENTAL DETAILS

### Materials and solutions

The three spirooxazines studied were supplied by Enichem Synthesis.

The solvents used were ethanol (Prolabo, Normapur grade) and toluene (Merck, Spectroscopy grade).

The concentration of the samples used were  $2 \cdot 10^{-4}$  mol.dm<sup>-3</sup> for irradiation in a 1 mm pathlength cell and  $10^{-3}$  mol.dm<sup>-3</sup> for heating in a 1 cm pathlength cell.

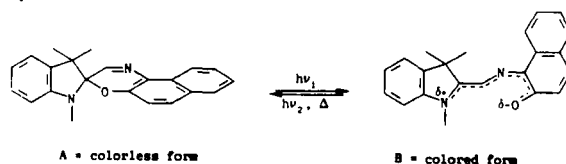
### Instrumentation

Absorption spectra were recorded on a Perkin-Elmer Lambda 17 UV-visible spectrophotometer.

The equipment used for flash photolysis is described in detail in reference 1.

### RESULTS AND DISCUSSION

The photochromism of spironaphthoxazine derivatives consists in a reversible photoisomerization involving the cleavage of the C(spiro)-O bond in the closed form (A) to form a colored open isomer, the photomerocyanine (B) :



This photomerocyanine form absorbs strongly in the visible region and reverts to its original form via both thermal and photochemical pathways.

### Temperature dependence of the absorption of the colored form

There exists a thermal equilibrium between the colorless and colored form strongly temperature dependent. This thermochromic effect is illustrated in Figure 1.

The standard enthalpy of this equilibrium reaction can be calculating using the following equation :

$$\frac{d \log A_B}{d(1/T)} = - \frac{\Delta H^0}{RT} \quad [A] \gg [B]$$

### Kinetics of the thermal decay

The value of the thermal rate constant was determined at different temperatures and according to the Arrhenius equation

$$\log k_{BA} = - \frac{E_a}{RT} + \log A \quad A \text{ is the frequency factor}$$

$$\Delta H^* = E_a - RT$$

the activation enthalpy,  $\Delta H^*$ , can be calculated.

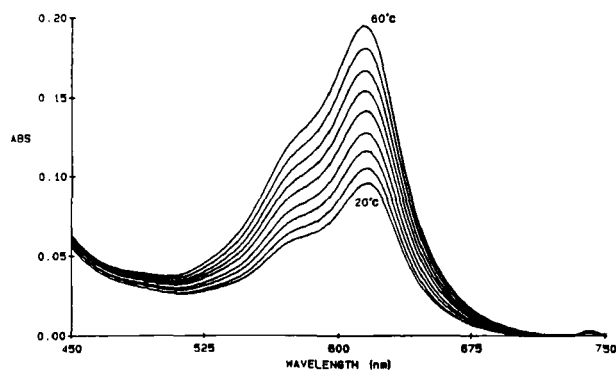


FIGURE 1 The absorption spectrum of the colored form of SPOD in ethanol at several temperatures

TABLE II Calculated standard enthalpy and activation enthalpy

$\Delta H^0$ (k.J.mol <sup>-1</sup> )			$\Delta H^*$ (k.J.mol <sup>-1</sup> )		
	toluene	ethanol		toluene	ethanol
SPOA	-	16.36	SPOA	60.82	91.95
SPOD	18.65	14.20	SPOD	80.16	97.33
SPOP	16.29	11.97	SPOP	61.55	62.50

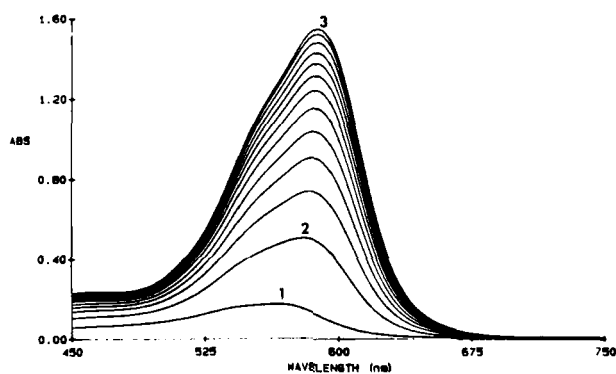


FIGURE 2 Progressive absorption spectral change of SPOP as a function of methanol concentration

1 methanol = 0

2 methanol = 0.6 M

3 methanol = 7.4 M

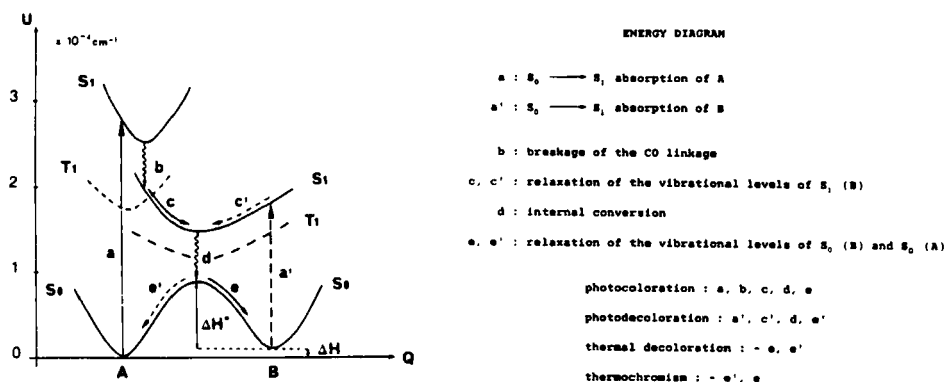
solvent = toluene

### Solvent dependence of the absorption of the colored form

The absorption maximum of spirooxazines is solvent dependent (Figure 2). The red shift is probably due to a higher dipole moment for excited spirooxazines with respect to its ground state, thus leading to increased excited stabilization in polar solvents.

### CONCLUSION

The kinetic and thermodynamic data strongly suggest an energy level schema as shown in the figure.



This diagram can explain the main properties of the SPO studied :

- the colored species are mainly formed from the singlet state in direct photocoloration and can be formed from the triplet state in sensitized photocoloration
- the photocoloration appears very shortly ( $< 1 \text{ ns}$ ) after the irradiating flash
- the colorless and colored forms show no fluorescence and no phosphorescence
- color formation and decoloration are reactions proceeding via electronically excited states ( $S_1$  or  $T_1$ ) and via vibrationally excited ground state.
- the colored form is mainly quinoid

### REFERENCE

1. D. Eloy, P. Escaffre, R. Gautron and P. Jardon, J. Chim. Phys., **89**, 897 (1992).